

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for IPO & FPO (Including OFS)

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for Rights Issue

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for Qualified Institutions Placement

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for Preferential Issue

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for Buyback of Securities

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for Delisting of Equity Shares

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for Substantial Acquisitions of Shares and Takeovers

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Data as on September 30, 2024 for SME IPO and FPO including OFS

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year

Investors Complaints Data by Small Industries Development Bank of India

Collective Data as on September 30, 2024

Sr. No.	Received from	Pending as at the end of August 2024 i.e. last month	Received during the particular month i.e. in September 2024	Resolved during the particular month i.e. September 2024*	Total Pending at the end of particular month i.e. September 2024#	Pending complaints > 1 month	Average Resolution time ^\ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from August 2024 - i.e. previous month	Received during the month i.e. in September 2024	Resolved during the month i.e. September 2024*	Pending at the end of the month i.e. September 2024#
1	May, 2024	0	0	0	0
2	June, 2024	0	0	0	0
3	July, 2024	0	0	0	0
4	August, 2024	0	0	0	0
5	September, 2024	0	0	0	0
	Grand Total	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

* Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)*

hhn	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	0	0	0	0
2	2023	0	0	0	0
3	2024*	0	0	0	0
4	2025*	0	0	0	0
5	2026*	0	0	0	0
	Grand Total	0	0	0	0

*Data shall be updated after the completion of respective calendar year